

Complaint Register

Under Section 3 of the Housing
And Urban Development Act of 1968

U.S. Department of Housing and Urban Development

Office of Fair Housing
and Equal Opportunity

(TEST FORM – 02/15/11)

1. Name of Complainant (Person or organization) _____ (_____) _____
 Home Phone _____
 Street Address _____ (_____) _____
 Work Phone _____
 City _____ State _____ Zip code _____
 Email (if available) _____

2. You are (check all that apply)

Low/Very low income resident Section 3 Business Concern

Public Housing resident

Youthbuild Participant

Homeless or formerly homeless A representative of a Section 3 Business Concern

A representative of any of the above listed individuals (such as a low-income or Public Housing resident) Other: _____

3. Basis for non compliance with Section 3

Denied Training Denied Employment Denied Contracting Other (see below in item 6)

4. Complaint is against (check one or more boxes)

Recipient Contractor Subcontractor Other (please specify): _____

5. Name of the Recipient (Organization, Agency or Contractor/Subcontractor, etc.) on which this complaint is being filed

_____ (_____) _____
 Name Business Phone _____
 Street Address _____ Contact Person's Name _____
 City _____ State _____ Zip code _____

6. What did the organization or contractor that you are complaining against do? (Check all that apply – provide documentation, if available)

Recipient	Contractor and/or Subcontractor
<input type="checkbox"/> Failed to demonstrate compliance with the "greatest extent feasible" requirement. <input type="checkbox"/> Failed to meet numerical goals, as set out in 24 CFR Part 135 <input type="checkbox"/> Failed to notify Section 3 business concerns of contracting opportunities <input type="checkbox"/> Failed to incorporate the Section 3 Clause in Section 3 solicitations, bids, or contracts <input type="checkbox"/> Failed to provide preferences to Section 3 business concerns in contracting opportunities <input type="checkbox"/> Failed to select Section 3 businesses in the order of preferences as set forth in 24 C.F.R. 135.36 <input type="checkbox"/> Failed to award contracts to Section 3 business concerns <input type="checkbox"/> Failed to ensure that its contractors complied with Section 3 requirements <input type="checkbox"/> Failed to notify Section 3 residents about training and/or employment opportunities	<input type="checkbox"/> Failed to demonstrate compliance with the "greatest extent feasible" requirement. <input type="checkbox"/> Failed to meet numerical goals, as set out in the Contract and/or in the regulations <input type="checkbox"/> Failed to certify that all employment vacancies filled prior to contract execution were not filled to circumvent Section 3 <input type="checkbox"/> Failed to notify potential subcontractors of Section 3 covered project and/or of the requirements of Section 3 <input type="checkbox"/> Failed to post notices at the work site regarding Section 3 preferences in accordance with the Section 3 Clause <input type="checkbox"/> Failed to send to each labor organization or representative of workers a notice of Section 3 commitment <input type="checkbox"/> Failed to ensure that its subcontractors comply with Section 3 <input type="checkbox"/> Failed to train and/or employ Section 3 residents <input type="checkbox"/> Failed to provide preferences to Section 3 individuals in employment or training

Recipient (cont)	Contractor and/or Subcontractor (cont)
<input type="checkbox"/> Failed to provide preferences to Section 3 individuals in employment or training <input type="checkbox"/> Failed to select individuals for training or jobs in the order of preferences as set forth in 24 C.F.R. 135.34 <input type="checkbox"/> Failed to train and/or employ Section 3 residents <input type="checkbox"/> Failed to make public the reports required by 135.90 <input type="checkbox"/> Failed to document action taken to comply with 24 CFR Part 135, and the results of actions taken and impediments, if any <input type="checkbox"/> Retaliated against the complainant because complainant sought to enforce Section 3 or participated in an investigation or proceeding regarding Section 3.	<input type="checkbox"/> Failed to select individuals for training or jobs in the order of preferences as set forth in 24 C.F.R. 135.34 <input type="checkbox"/> Failed to sub contract with Section 3 businesses <input type="checkbox"/> Retaliated against the complainant because complainant sought to enforce Section 3 or participated in an investigation or proceeding regarding Section 3.

When did the act(s) checked above occur? (Include the most recent date if several dates are involved): _____

Project Name: _____ Project Number: _____

Project Location: _____

Local Contracting Agency (LCA): _____

Identify HUD assistance program(s), if known. (Check all that apply)

- | | | | | |
|-----------------------------------|--|-----------------------------------|---|---------------------------------------|
| <input type="checkbox"/> PIH/DEV | <input type="checkbox"/> Other PIH | <input type="checkbox"/> CDBG | <input type="checkbox"/> Other CPD | <input type="checkbox"/> HOPE VI |
| <input type="checkbox"/> PIH/MOD/ | <input type="checkbox"/> 202/811 | <input type="checkbox"/> HOME | <input type="checkbox"/> Lead-based Paint | <input type="checkbox"/> CNI |
| <input type="checkbox"/> PIH/OPER | <input type="checkbox"/> Other Housing | <input type="checkbox"/> Homeless | <input type="checkbox"/> NSP | <input type="checkbox"/> P-B Vouchers |

Summarize what happened? Attach additional information if necessary.

I declare under penalty of perjury that I have read this complaint (including any attachments) and that it is true and correct.

Signature

Date

Print Name